

Chandra X-ray Observatory Mirror Effective Area

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ABSTRACT

Chandra X-ray Observatory (CXO) – the third of NASA’s Great Observatories – has now been successfully operated for four years and has brought us fruitful scientific results with many exciting discoveries. The major achievement comparing to previous X-ray missions lies in the heart of the CXO – the High Resolution Mirror Assembly. Its unprecedented spatial resolution and well calibrated performing characteristics are the keys for its success. We discuss the effective area of the CXO mirrors, based on the ground calibration measurements made at the X-Ray Calibration Facility in Marshall Space Flight Center before launch. We present the derivations of both on-axis and off-axis effective areas, which are currently used by Chandra observers.

Keywords: Chandra X-ray Observatory, HRMA, X-ray telescopes, X-ray mirrors, calibration, effective area

1. INTRODUCTION

NASA’s Chandra X-ray Observatory (CXO) is the most powerful X-ray telescope ever built to-date. Its launch in 1999 was a major milestone in the field of high energy astrophysics and the world of science. In the past four years, it has brought many new discoveries to the general public as well as the scientific community, and opened a new window to part of the Universe of which we have never seen before. CXO has unprecedented capabilities of high resolution imaging and spectroscopy over the X-ray band of 0.1 keV – 10 keV. The success of CXO is mainly due to the design and manufacture of its X-ray mirrors – the High Resolution Mirror Assembly (HRMA). At 0.84-m long and 0.6 – 1.2-m in diameters, the surface area of each mirror ranging from 1.6 to 3.2 square meters. They are the largest and the most precise grazing incidence optics ever built. The success of CXO is also due to the extensive ground calibration for the HRMA and science instruments, carried out at NASA’s Marshall Space Flight Center before launch. The Effective Area (EA) is one of the most important characteristics of the CXO. It was determined that the CXO effective area should be calibrated to a precision that no X-ray telescopes have ever achieved before, so it can provide accurate measurement of the flux from X-ray sources.¹⁻³

This paper explain the calibration and derivations of both on-axis and off-axis effective areas, which are currently used by Chandra observers.

2. GROUND CALIBRATION

The HRMA ground calibration was carried out at the X-Ray Calibration Facility (XRCF) in Marshall Space Flight Center (MSFC), Huntsville, AL, from September 1996 through May 1997. An extensive effort was devoted to calibrate the the HRMA on-axis effective area. Figure 1 illustrates the Calibration configuration at the XRCF. The experiment was setup in three buildings (Bldgs 600, 500, and 4718) and connected by a 524.7 meter long vacuum pipe. The X-ray Source System (XSS) was in building 600. The HRMA was in a vacuum chamber located in Building 4718, 524.7 m from Building 600. In Building 500, which was 37.4 m from the XSS, there were Beam Normalization Detectors (BND).

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XRCF EXPERIMENTAL SETUP (SCHEMATIC)

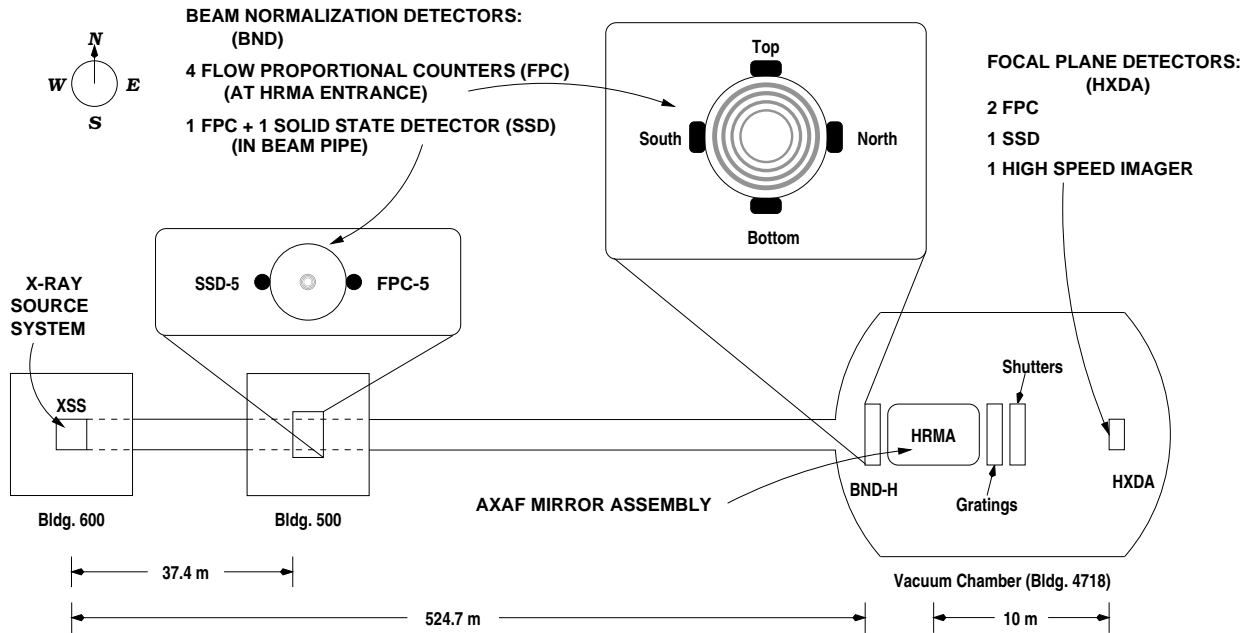


Figure 1. HRMA Calibration configuration at the XRCF.

Three types of X-ray source were used for the calibration:

- X-ray line source: Characteristic X-ray lines generated by an Electron Impact Point Source (EIPS) with various anodes.
- C-continuum source: Continuum X-ray radiation generated by EIPS with a carbon anode at 15 kV and using a beryllium (Be) filter to attenuate the lowest energies including the C-K α line (0.277 keV).
- W-continuum DCM source: Tungsten Rotating Anode source, behind a Double Crystal Monochromator (DCM), which produces narrow band tunable X-rays.

Two types detectors were used for the effective area calibration:

- High-Purity-Germanium Solid State Detector (SSD). Two nearly identical SSDs were used:
 - One located in the HRMA focal plane, named SSD-X.
 - One located in the Bldg 500 for beam normalization, named SSD-5.
- Methane or P10 (90% argon, 10% methane) gas Flow Proportional Counter (FPC). There were total of 7 FPCs:
 - Two located in the HRMA focal plane, named FPC-X1 and FPC-X2.
 - One located in the Bldg 500 for beam normalization, named FPC-5.
 - Four located in the HRMA entrance plane for beam normalization (see Figure 1), named FPC-HT,HB,HN,HS.

For calibration purposes, shutters were placed behind the HRMA to block the X-rays from shells and quadrants of choice. So each measurement could be done with either the full HRMA or individual shells. Apertures of different sizes were available in front of each detector.

Following measurements were made for the HRMA on-axis effective area:

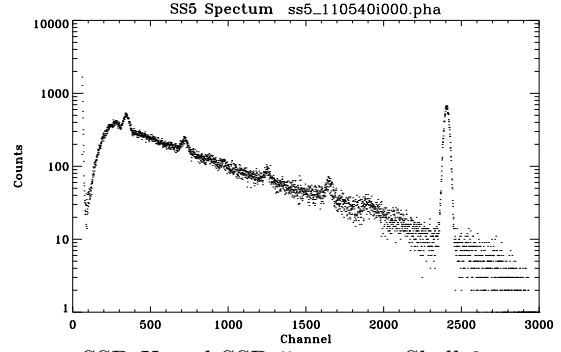
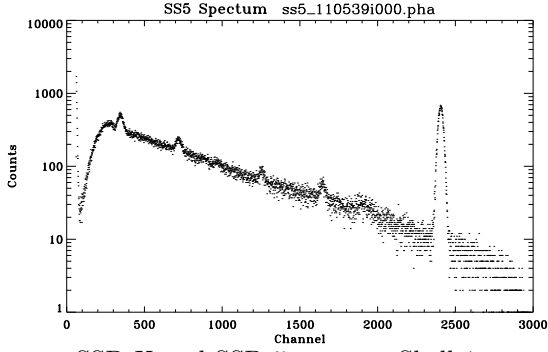
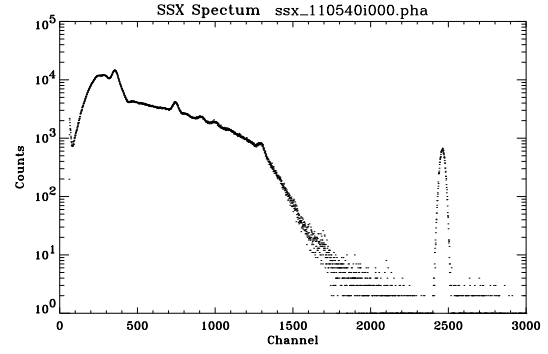
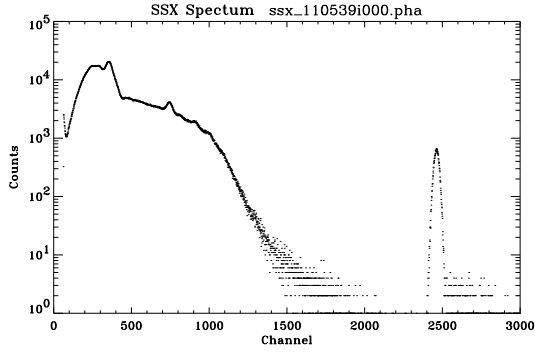
- SSD C-continuum Measurements:
 - X-ray source: C-continuum (0.5 – 10 keV)
 - Focal plane detector: SSD-X
 - Beam normalization detector: SSD-5
 - Apertures: 5 mm for SSD-X, 2 mm for SSD-5
 - Measurements: individual shells 1, 3, 4, 6
- SSD Spectral line Measurements
 - X-ray source: Nb-La (2.17 keV), Ag-La (2.98 keV), Sn-La (3.44 keV)
 - Focal plane detector: SSD-X
 - Beam normalization detector: SSD-5
 - Apertures: 5 mm for SSD-X, 2 mm for SSD-5
 - Measurements: Full HRMA
- FPC Spectral line Measurements
 - X-ray source: C-Ka (0.277 keV), Cu-La (0.9297 keV), Al-Ka (1.486 keV), Ti-Ka (4.51 keV), Cr-Ka (5.41 keV), Fe-Ka (6.4 keV), Cu-Ka (8.03 keV)
 - Focal plane detector: FPC-X2
 - Beam normalization detector: FPC-Hs
 - Apertures: 2 mm and 35 mm for FPC-X2, 35 mm for FPC-Hs
 - Measurements: individual shells and full HRMA
- DCM W-continuum Measurements
 - X-ray source: W-continuum DCM source (2 – 10 keV)
 - Focal plane detector: FPC-X2
 - Beam normalization detector: FPC-Hs
 - Apertures: 35 mm for FPC-X2, 35 mm for FPC-Hs
 - Measurements: Full HRMA

We now discuss each measurement in the following sections.

3. SSD C-CONTINUUM MEASUREMENTS

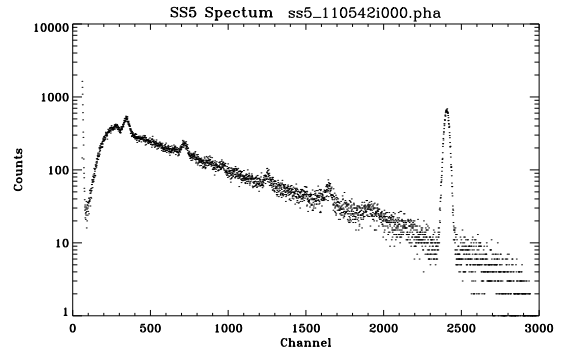
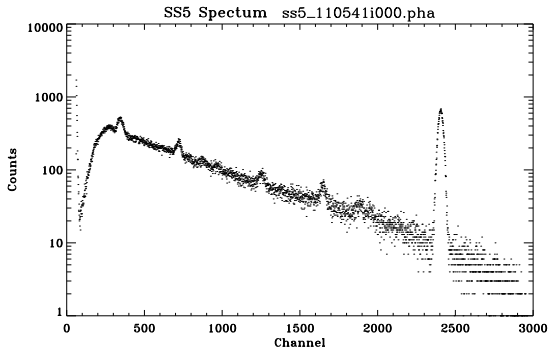
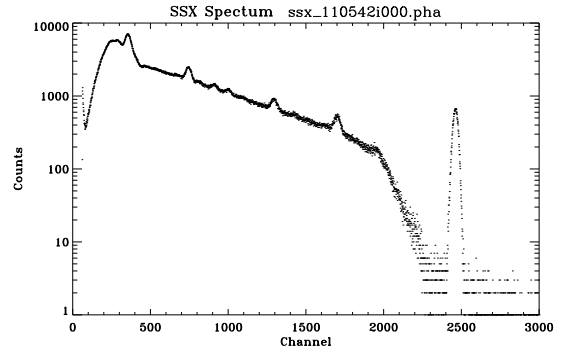
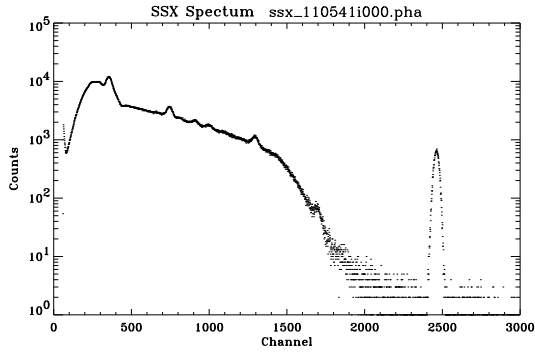
For the SSD C-continuum Measurements, only the effective area of individual shells (instead of the full HRMA) were measured. The measurements were done by comparing the spectra detected simultaneously by the SSD-5 and SSD-X – two nearly identical high-purity-germanium solid state detectors. SSD-5 was located in Building 500 at 38.199 meters from the X-ray source. It is, of course, not directly in the line between the sources and the HRMA, but to one side of it. SSD-X was located at the HRMA focus, 537.778 meters from the source. An aperture wheel was mounted in front of each SSD. The on-axis effective area measurements were done with a 2 mm diameter aperture in front of the SSD-5 and a 5 mm diameter apertures in front of the SSD-X. The integration time was 1000 seconds for each shell.

Figure 2 shows the SSD-X and SSD-5 spectra of these four measurements. The profiles show the C-continuum spectra with several spectral peaks on top. The largest Gaussian-like peak at around channels 2400–2500 is the injected pulser spectrum to be used for the pileup and deadtime corrections (see below). Other peaks are characteristic X-ray lines due to contaminations to the carbon anode. It was good to have these contamination peaks, as they were to be used to determine the energy scale of the spectra (see below).



SSD-X and SSD-5 spectra: Shell 1.

SSD-X and SSD-5 spectra: Shell 3.



SSD-X and SSD-5 spectra: Shell 4.

SSD-X and SSD-5 spectra: Shell 6.

Figure 2. C-continuum SSD-X and SSD-5 spectra of four HRMA shells. Integration time: 1000 seconds. The profiles show the C-continuum spectra with several spectral peaks on top. The largest Gaussian-like peak at around channels 2400–2500 is the injected pulser spectrum to be used for the pileup and deadtime corrections. Other peaks are characteristic X-ray lines due to contaminations to the carbon anode. These peaks are used to determine the spectra energy scale.

The C-continuum measurements have the advantage of providing the effective area for nearly the entire Chandra energy band. But extreme care has to be taken for the data analysis and results evaluation details. Following issues has to be resolved and calculated correctly:

- **Pileup:** Pileups occur when more than one photon enter the detector within a small time window (a few μsec). Instead of recording each photon event, the detector registers only one event with the summed energy of all photons. The pileup can also occur for a real photon with a pulser event. The SSD has pileup rejection electronics to reduce the pileup. However, the rejection does not work well if one of the photons has energy below 2 keV, corresponding to a pre-amplifier output signal of 4 mV. Thus each spectrum needs to be corrected for pileups of any photon with a low energy (< 2 keV) photon.
- **Deadtime Correction:** In the raw data, the deadtime correction was automatically estimated, using a built-in circuitry and algorithms, and entered in the pha file header for each spectrum. However, for the SSDs, this formalism does not provide an accurate estimation because of low-level noise; the lower level discriminators were set very low to extend the SSDs' energy coverage as low as possible. A more accurate way to calculate the deadtime correction is to use the pulser method, in which artificial pulses are injected into the detector preamplifier to mimic real x-ray events.
- **Beam Uniformity:** Beam uniformity was measured by scan the SSD-5. The Flux Ratio (FR) of SSD-5 home position vs. the optical axis was fit as a function of X-ray energy in E , in unit of keV as:

$$FR = 1.01341 - 0.00512E + 0.000567E^2 \quad (1)$$

with a relative error of 0.0034.

- **SSD Icing Effect:** Because SSD was cooled to the liquid nitrogen temperature, even in its vacuum container, there was still a small amount of trapped water which condensed on the surface of the SSD to form a very thin layer of ice. This thin ice layer decreases the transmission of low energy X-rays. In order to monitor the ice build up, a radioactive isotope ^{244}Cm excited Fe source was placed on the aperture wheel and rotated in front of the SSD-5 from time to time. The data analysis show that icing have $< 0.7\%$ effect for energies over 3 keV, $\sim 2\%$ at 2 keV and very severe effect for energies below 2 keV. Therefore, due to different icing build up on the two SSDs and we don't know the exact thickness of the ice during the measurement, we decide not to use the SSD data below 2 keV.
- **Background:** During the HRMA calibration, background runs were taken almost every day when the source valve was closed and all the detectors were turned on. For all the background spectra, the average counting rate was $2 - 9 \times 10^{-5}$ c/s/ch, which is negligible in our data analysis.
- **The relative quantum efficiency (QE) of SSD-5/SSD-X** was measured, by swapping the two SSDs, to be $R(E) = 1.0141 \pm 0.0089$.
- **SSD Energy Scale:** Using the characteristic X-ray lines atop the continuum spectra for a linear fit:

$$Energy = a + b \cdot Channel$$

Figure 3 show the SSD-X and SSD-5 energy scales fitted with the six X-ray line energies.

For detailed data analysis and discussion of above issues, please see the paper by Zhao et al., SPIE '98.¹

The HRMA effective area at the XRCF is defined to be the photon collecting area in the plane of the HRMA pre-collimator entrance, which is 1491.64 mm forward from CAP Datum-A (the front surface of the Central Aperture Plate), i.e. 526.01236 meters from the source.

Table 1. X-ray Lines atop the C-continuum

X-ray Line	Energy
Si-K α , W-M α and W-M β	1.77525 keV
Ca-K α	3.69048 keV
Ti-K α	4.50885 keV
V-K α	4.94968 keV
Fe-K α	6.39951 keV
W-L α	8.37680 keV

For the C-continuum SSD measurements, the HRMA mirror effective area, $A_{eff}(E)$, is:

$$A_{eff}(E) = \frac{C_{ssd-x}(E)}{C_{ssd-5}(E)} \cdot \frac{PDC_{ssd-x}}{PDC_{ssd-5}} \cdot \frac{D_{hrma}^2}{D_{ssd-5}^2} \cdot A_{ssd-5} \cdot R(E) \quad (2)$$

where

- $C_{ssd-x}(E)$ and $C_{ssd-5}(E)$ are the SSD-X and SSD-5 spectra with the correct energy scale and equal energy bins (in units of counts/second/keV).
- PDC_{ssd-x} and PDC_{ssd-5} are the pulser deadtime corrections for the SSD-X and SSD-5.
- $D_{hrma} = 526.01236$ meter is the distance from the source to the HRMA pre-collimator entrance, where the effective area is defined.
- $D_{ssd-5} = 38.199$ meters is the distance from the source to SSD-5.
- A_{ssd-5} is the SSD-5 aperture area. A 2 mm aperture was used for all the measurements. Its actual equivalent diameter is 1.9990 ± 0.0073 mm. So $A_{ssd-5} = 0.031385 \pm 0.00023$ cm²
- $R(E) = 1.0141 \pm 0.0089$ is the relative SSD-5/SSD-X quantum efficiency from the flat field test.

4. SSD AND FPC SPECTRAL LINE MEASUREMENTS

Sources of characteristic X-ray lines generated by EIPS with various anodes was used to measure the effective area with both SSD and FPC detectors. The measured effective area was obtained by comparing the spectra detected simultaneously by the focal plane and BND detectors. Spectral line fitting method was used to determine the total photon counts in each detector. For detailed data analysis and discussion of spectral line measurements, please see the paper by Edgar et al., SPIE '97.⁴

5. RAYTRACE SIMULATIONS

The HRMA effective area can be calculated based on the HRMA model raytrace simulation and appropriate optical constants, independently from the XRCF measurements. For detailed discussion of the raytrace simulation, please see the paper by Jerius et al., SPIE '03.⁵

6. OPTICAL CONSTANTS

The complex index of refraction used in the Fresnel Equation of the reflecting medium is defined as: $\tilde{n} \equiv n - ik \equiv 1 - \delta - i\beta$. The optical constants δ and β of the HRMA coating iridium (Ir) were derived from the synchrotron measurements of the Chandra mirror witness flats at the Brookhaven National Laboratory (BNL). Figure 4 shows the Ir optical constants from the synchrotron measurements and from the table by Henke and Gullikson.⁶ For detailed discussion of the the synchrotron measurements, please see the paper by Graessle et al., SPIE '03.⁷

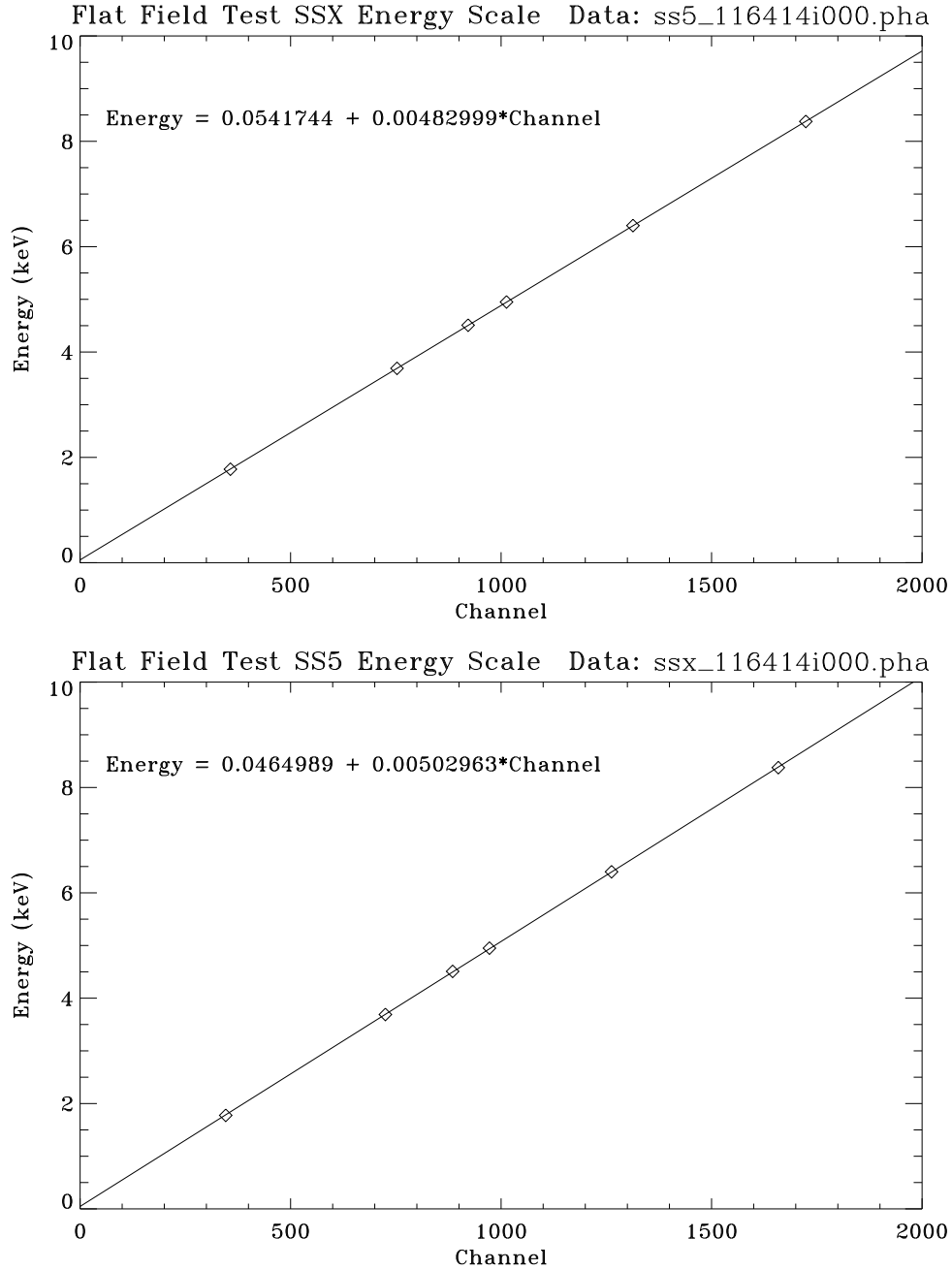


Figure 3. SSD-X and SSD-5 energy scales for the flat field test, fitted with six X-ray line energies.

7. HRMA ON-AXIS EFFECTIVE AREA

In the raytrace simulation, we used Ir optical constants from Gullikson'95 table⁶ for 0.10 - 0.94 keV, and from the synchrotron measurements for 0.94 - 10 keV. The reflectivity were calculated from 328Å Ir coating with 97Å Cr undercoating on a Zerodur base.

Figures 5 and 6 show the measured Effective Area comparing with the raytrace simulation. There are some discrepancies between the measurements and the raytrace for individual shells, especially for shell 1. But the agreement is reasonably good for the full HRMA (Figure 6).

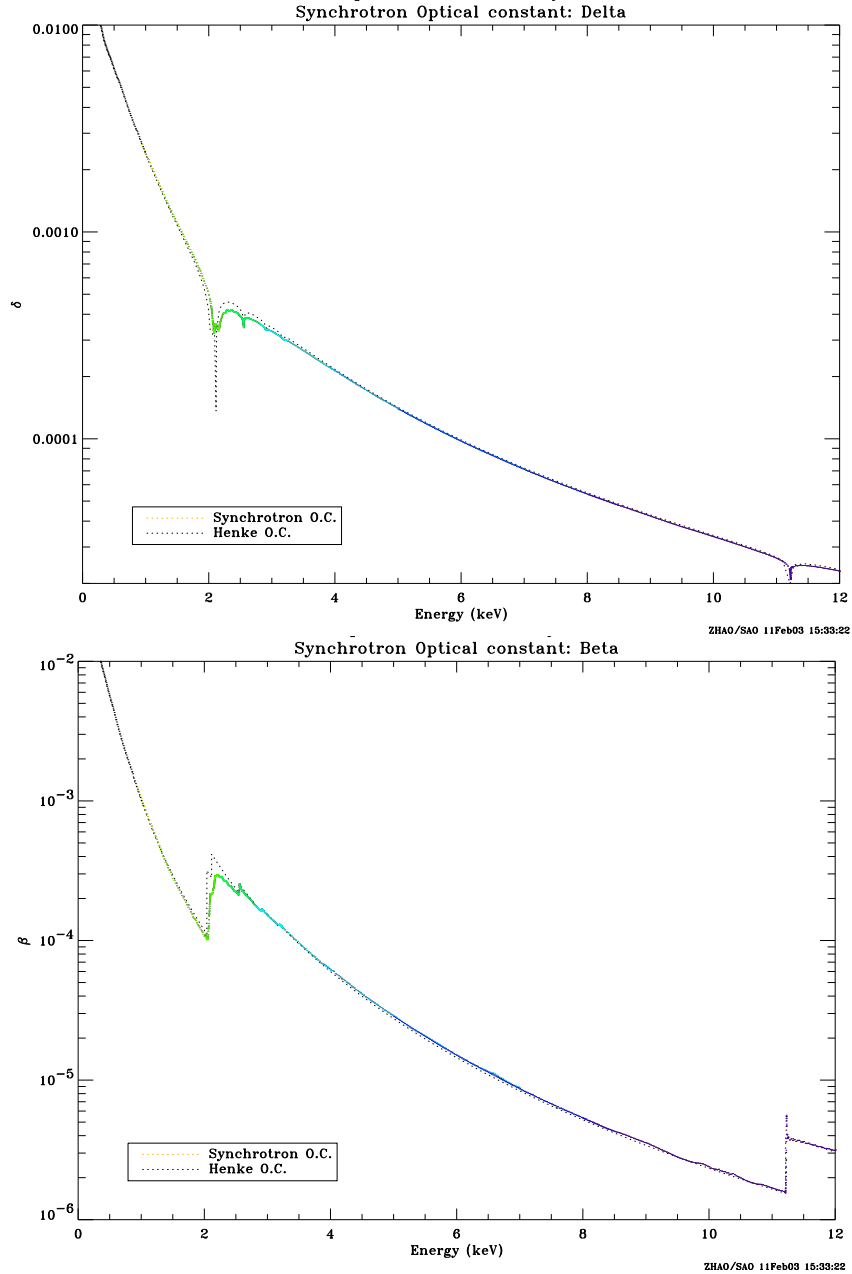


Figure 4. Ir optical constants from the BNL synchrotron measurements.

To calibrate the HRMA Effective Area, A 4th order polynomial was fit to each of the effective area ratios of SSD-data/raytrace for $E > 2keV$. An average ratio of FPC-data/raytrace was used for $E < 2keV$. Then the raytrace Effective Area was scaled by the above ratios and polynomials.

But the FPC measurements were still a few percent lower than the SSD measurements. To give equal weights for both SSD and FPC measurements, the EA was further lowered by half the average difference between the SSD and FPC measurements. The final XRCF EA is show in Figure 7. It has an error envelop of $\sim 2\%$. This Figure appears in the Chandra Proposers' Observatory Guide (POG),⁸ Chapter 4, Figure 4.5.

Use the polynomial curve in Figure 7, we can calibration the HRMA on orbit effective area by scaling the

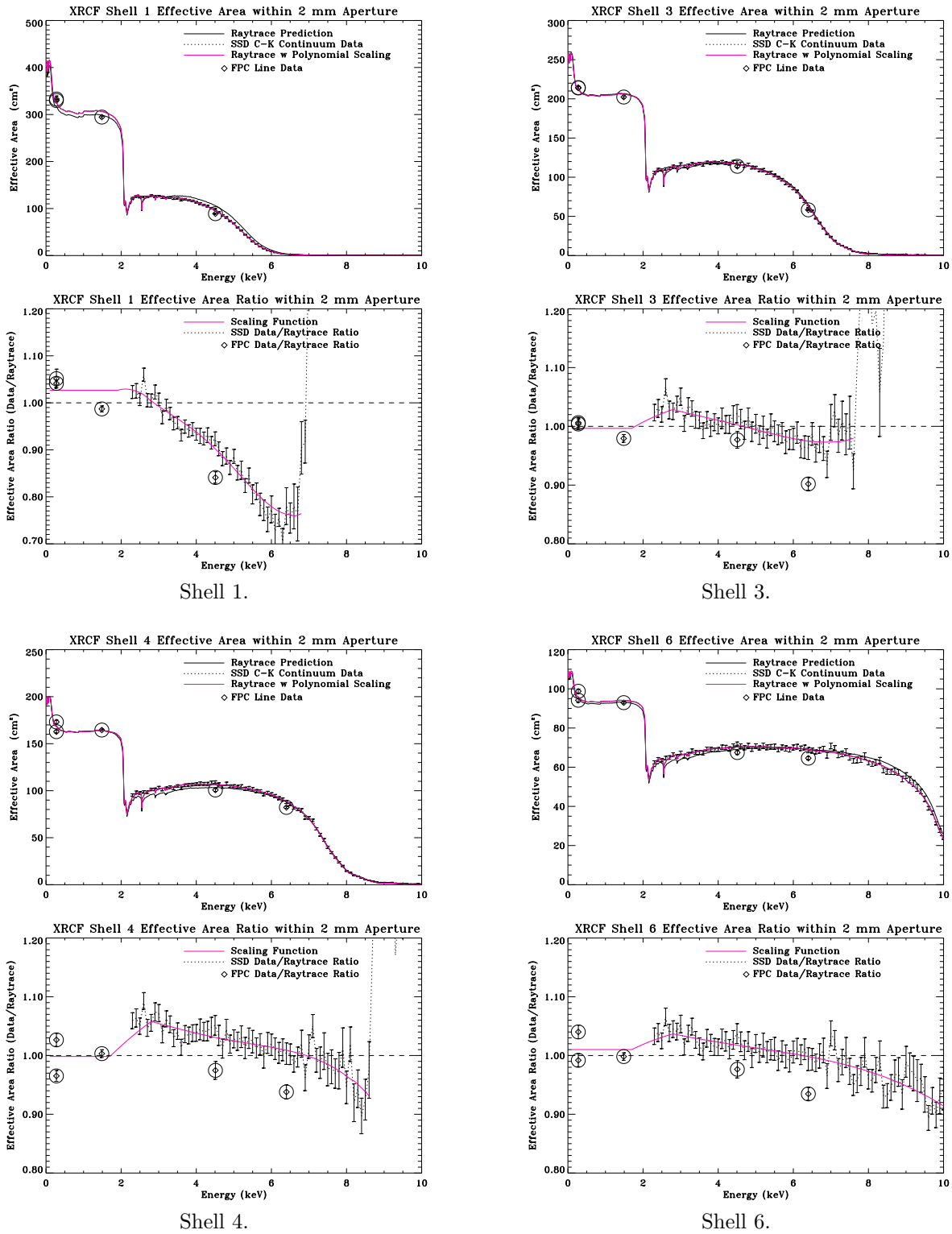


Figure 5. Calibration data vs. raytrace prediction. Top panel of each quadrant plot shows the XRCF effective area within 2 mm aperture. Bottom panel shows the effective area ratio of data/raytrace.

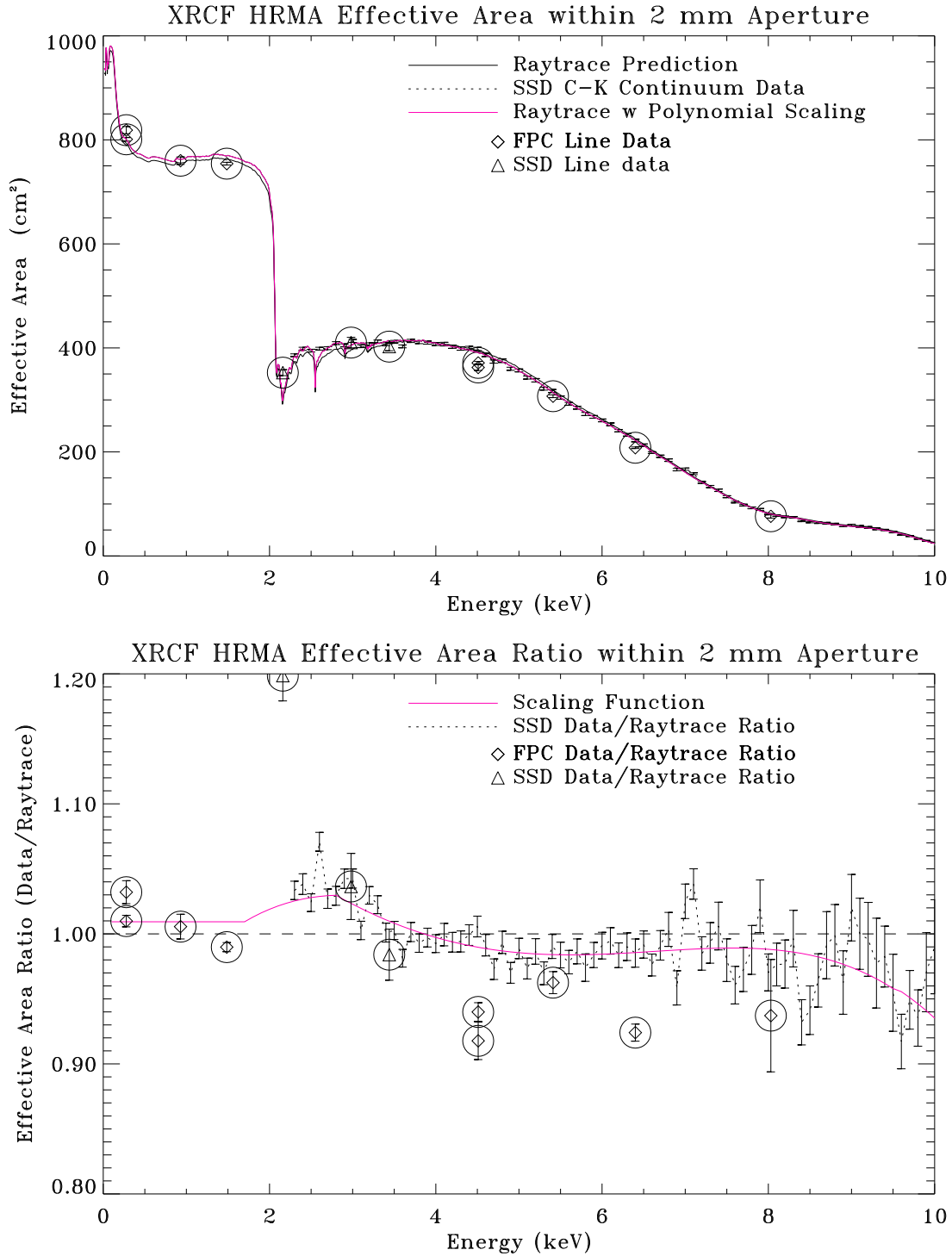


Figure 6. Calibration data vs. raytrace prediction. Top panel shows the XRCF HRMA effective area within 2 mm aperture. Bottom panel shows the effective area ratio of data/raytrace.

raytrace on-orbit prediction the same way. The predicted on-orbit on-axis (2π) effective area of the HRMA as

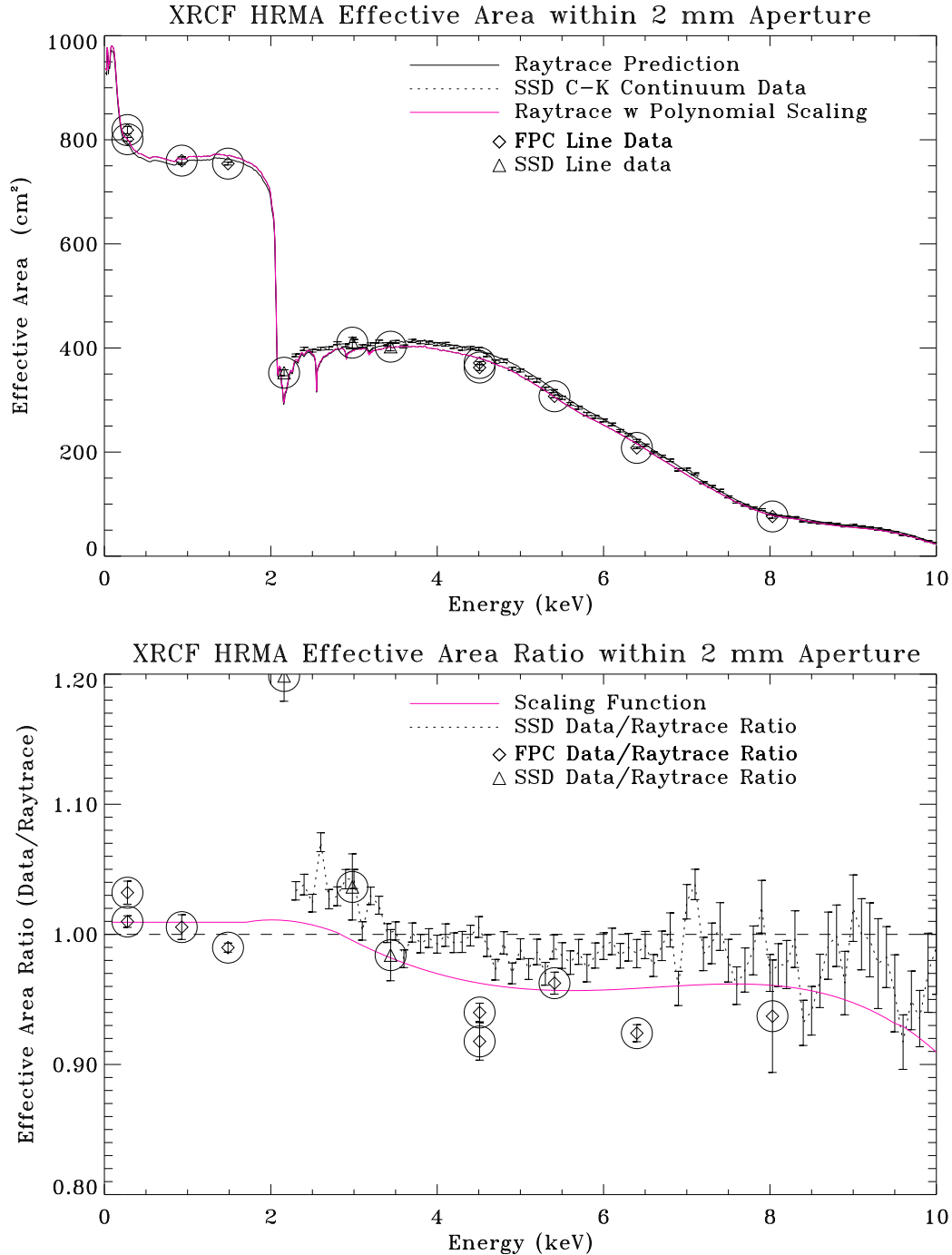


Figure 7. The HRMA effective area measured at the XRCF. In the upper panel: the solid line is the raytrace simulation of the HRMA effective area within a 2 mm diameter aperture at the focus; the dashed line with error bars shows the SSD data with a C-K continuum source; the diamonds and triangles show the FPC and SSD data with spectral line sources. The lower panel shows deviation of data from the raytrace: the dashed line with error bars is the ratio of SSD C-K continuum data vs. the raytrace; the diamonds and triangles show the ratio of FPC and SSD spectral line data vs. the raytrace; the solid line is a polynomial function, which gives equal weight to the deviations of the continuum and line measurements, for scaling the raytrace simulation to provide the predicted HRMA effective area.

well as the HRMA times the ACIS or HRC QE are shown in Figure 8. This figure appears in POG , Chapter 4, Figure 4.2. Table 2 lists the values in the figure for every 0.5 keV.

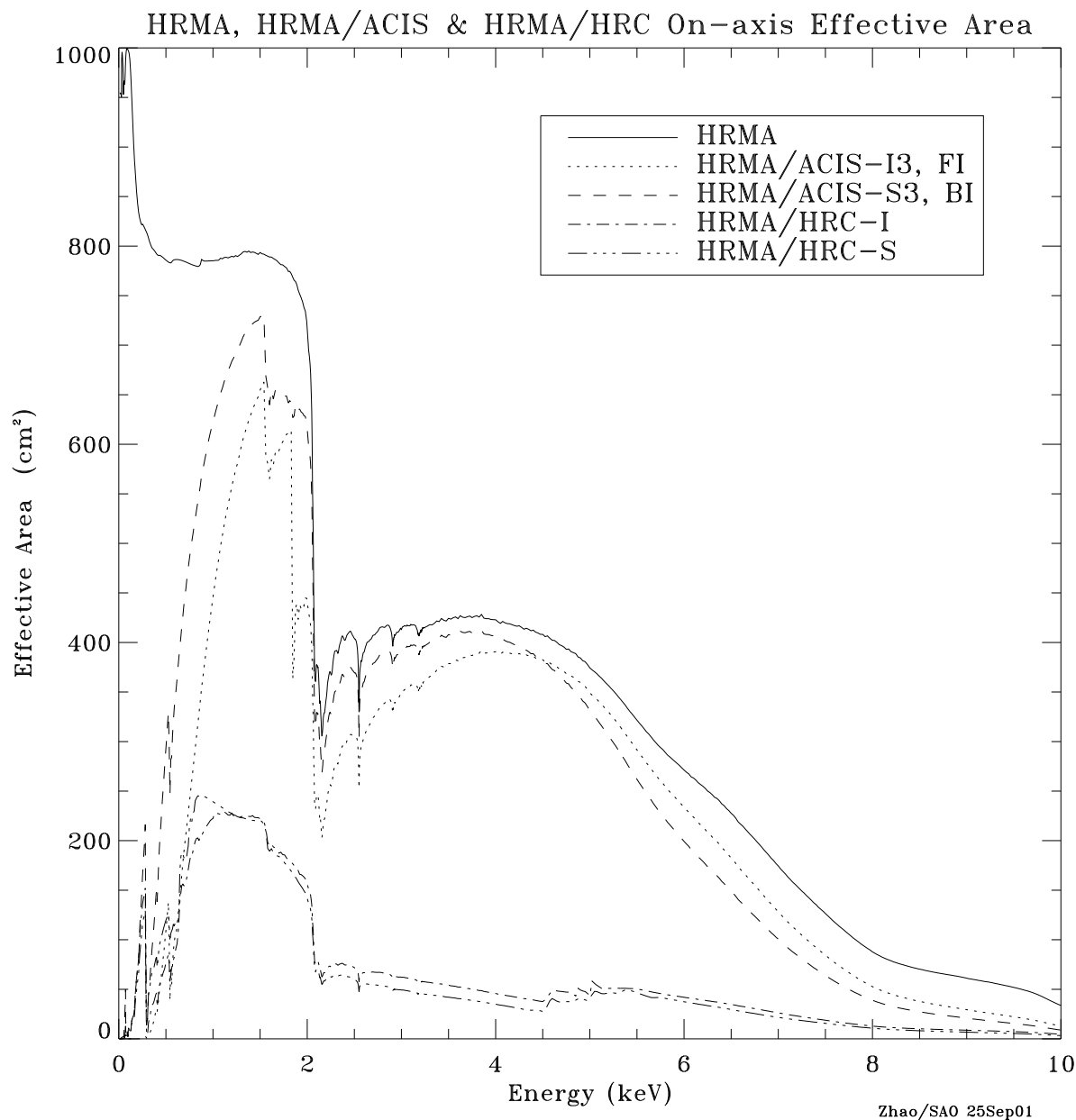


Figure 8. The HRMA, HRMA/ACIS and HRMA/HRC effective areas versus X-ray energy in linear scale. The structure near 2 keV is due to the iridium M-edge. The HRMA effective area is calculated by the raytrace simulation based on the HRMA model and scaled by the XRCF calibration data. The HRMA/ACIS effective areas are the products of HRMA effective area and the Quantum Efficiency (QE) of ACIS-I3 (front illuminated) or ACIS-S3 (back illuminated). The HRMA/HRC effective areas are the products of HRMA effective area and the QE of HRC-I or HRC-S at their aimpoints, including the effect of UV/Ion Shields (UVIS).

Table 2. HRMA, HRMA/ACIS HRMA/HRC On-axis Effective Area (cm^2)

Energy	HRMA	HRMA	HRMA	HRMA	HRMA
		w/ACIS-I3	w/ACIS-S3	w/HRC-I	w/HRC-S
0.5	785.85	108.81	292.81	76.22	119.58
1.0	785.19	445.67	622.57	222.36	239.32
1.5	793.54	654.74	728.65	222.22	220.76
2.0	716.65	440.96	615.51	156.52	142.03
2.5	404.05	305.51	369.63	71.83	59.74
3.0	413.13	347.46	391.08	62.06	49.23
3.5	424.36	378.58	407.96	54.10	42.09
4.0	422.93	390.67	406.28	45.78	34.99
4.5	408.15	382.54	381.81	37.59	27.71
5.0	374.31	349.05	331.11	43.70	41.55
5.5	321.61	291.56	261.86	50.32	46.51
6.0	271.23	233.55	199.28	41.91	37.42
6.5	227.56	182.35	148.81	34.68	29.58
7.0	174.47	128.30	100.55	26.24	21.68
7.5	126.43	84.38	63.99	18.76	15.64
8.0	87.72	52.64	38.87	12.83	10.69
8.5	70.32	37.80	27.28	10.14	8.18
9.0	61.23	29.40	20.81	8.70	6.74
9.5	51.91	22.24	15.50	7.27	5.40
10.0	33.69	12.87	8.85	4.65	3.30

8. HRMA OFF-AXIS EFFECTIVE AREA

The HRMA effective area decreases as the source off-axis angle increases. So the count rate for the same source depends on its off-axis angle. Figure 9 shows the HRMA on-orbit off-axis effective area as a fraction of the on-axis effective area for selected energies, calculated by raytrace simulation. This Figure appears in POG , Chapter 4, Figure 4.4. Table 3 lists the values in the figure.

Table 3. HRMA On-orbit Off-axis Effective Area as a fraction of the On-axis Effective Area

Offaxis Angle (arcmin)	Energy (keV)				
	1.4967	4.5108	6.4038	8.6388	9.7000
0.0	1.0000	1.0000	1.0000	1.0000	1.0000
0.5	0.9998	0.9994	0.9985	0.9996	0.9905
1.0	0.9979	0.9969	0.9930	0.9944	0.9612
2.0	0.9912	0.9877	0.9718	0.9719	0.8576
3.0	0.9786	0.9716	0.9385	0.9341	0.7225
5.0	0.9462	0.9296	0.8535	0.8258	0.5096
7.0	0.9115	0.8813	0.7600	0.6712	0.3957
10.0	0.8569	0.8022	0.6255	0.4847	0.2899
15.0	0.7696	0.6708	0.4616	0.3263	0.1928
20.0	0.6901	0.5534	0.3435	0.2348	0.1336

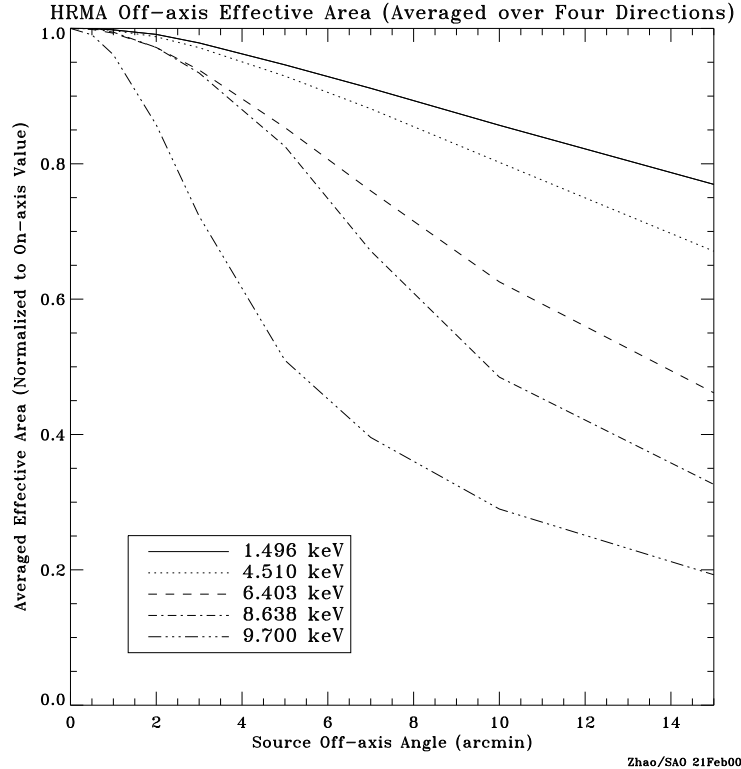


Figure 9. The HRMA effective area versus off-axis angle, averaged over azimuth, for selected energies, normalized to the on-axis area for that energy.

9. DCM W-CONTINUUM MEASUREMENTS

Tungsten Rotating Anode source, behind a Double Crystal Monochromator (DCM), produces narrow band tunable X-rays. It was used to measure the effective area with the FPC detectors. Its spectral analysis was simplified due to the lack of continuum. However, its beam uniformity was a problem, especially near strong W lines (1.7,1.8 keV). Therefore it was not reliable as an absolute EA calibration. So we only used the data from the DCM measurements for a cross check. The results are comparable to the SSD continuum and FPC line measurements. Figure 10 shows the results of the DCM W-continuum Measurements.

10. SUMMARY

The HRMA Effective Area is one of the most important parameters of the Chandra X-ray Observatory. It has been calibrated to an unprecedented precision for any X-ray telescope, to ensure an accurate measurement of the flux from X-ray sources. This paper summarizes all the measurements conducted at the MSFC/XRCF during the HRMA ground calibration, and presents the results for the Chandra on-orbit effective area prediction, which are used by Chandra users through the Chandra Interactive Analysis of Observations (CIAO) software. However, there are still some issues to be resolved, such as: 1) the small discrepancies between SSD and FPC measurements; 2) the large discrepancies between measurements and raytrace simulation for shell 1; 3) there seems to be some plausible discontinuity at Ir edge (~ 2 keV) observed with HETG, which could be due to the mirror surface contamination.

ACKNOWLEDGMENTS

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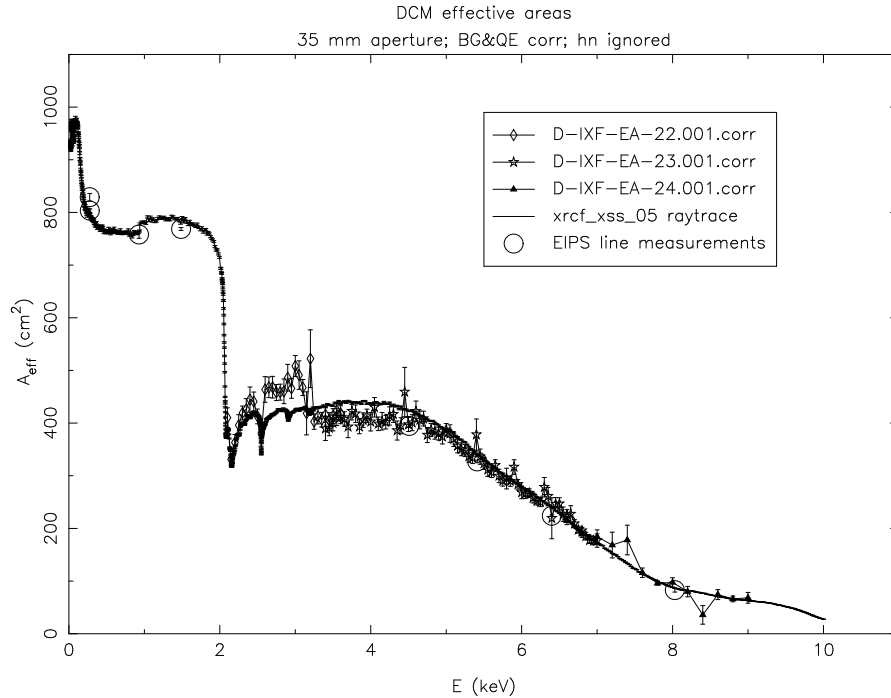


Figure 10. DCM Effective Area Measurements. The results are comparable to SSD continuum and FPC line measurements. The Ir edge structure in the 2-3 keV range is resolved. the jump near 3 keV is almost certainly the Ar edge in the FPC response.

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